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March 4, 2003

BOX NO FEE

Assistant Commissioner for Patents
Washington, D.C. 20231

Re: Woodward C. HOFFMAN et al.
U.S. Patent Application No. 09/997,716 Filed: November 26, 2001
Attorney Docket No. WH1-NP
"ACCOUNTING SYSTEM FOR THE DYNAMIC STATE OF THE
PORTFOLIO REPORTING"

SIR:

We enclose herewith:

- [X] Information Disclosure Citation Form PTO-1449
- [X] Copies of four (4) references cited;
- [X] Acknowledgment Postcard

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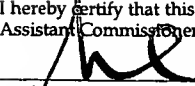
The Commissioner is hereby authorized to charge payment of the fees associated with this communication or credit any overpayment to Deposit Account No. 08-0570.

The applicants hereby petition under 37 CFR 1.136 or other applicable rule to have the response period extended the number of months necessary to render the attached communication timely if a petition is required.

Respectfully submitted,


Anthony H. Handal
Reg. No. 26,275
Roger Pitt
Reg. No. 46,996

I hereby certify that this correspondence is being deposited with the United States Postal Service, in an envelope, postage prepaid, addressed to the Assistant Commissioner for Patents Washington, D.C. 20231 on March 4, 2003.


Anthony H. Handal
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Form PTO-1449
(R v. 8-83)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

WH1-NP

SERIAL NO.

09/997,716

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

APPLICANT

HOFFMAN et al.

FILING DATE

November 26, 2001

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5 8 5 7 1 7 6	12/99	Ginsberg			
	6 3 4 7 3 0 7	02/02	Sandhu et al.			
	6 3 9 3 4 0 9	05/02	Young et al.			

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FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
					YES NO

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

PCT/US01/44311; International Search Report mailed December 9, 2002.

EXAMINER

DATE CONSIDERED

* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.